



PTO/SB/08A (07-05)

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete If Known</b>			
		Application Number	09/814,091		
		Filing Date	March 21, 2001		
		First Named Inventor	Charles W. Knouse		
		Art Unit	2135		
		Examiner Name	Dada, Beemnet W.		
Sheet	1	of	11	Attorney Docket Number	021756-011700US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BD	A1	US-4,484,306	11-20-1984	Kulczycky et al.	
	A2	US-4,956,769	09-11-1990	Smith	
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Examiner Signature	Beemnet Dada	Date Considered	8/17/06
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	09/814,091
		Filing Date	March 21, 2001
		First Named Inventor	Charles W. Knouse
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(Use as many sheets as necessary)		Attorney Docket Number	021756-011700US
Sheet	2	of	11

U.S. PATENT DOCUMENTS					
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BD	A36	US-5,999,911	12-07-1999	Berg et al.	
	A37	US-6,005,571	12-21-1999	Pachauri	
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	A39	US-6,026,474	02-15-2000	Carter et al.	
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BP	A141	US-6,697,849 B1	02-24-2004	Carlson	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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NON PATENT LITERATURE DOCUMENTS				
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Bb	C1	Barrett, Debbie, "Diary Of A Break-And-Enter, Cyber Style," Technology in Government, p. 22, January 2000	<input type="checkbox"/>	
	C2	Cholter, William La et al., "IBAN: Intrusion Blocker Based On Active Networks," Proceedings of the DARPA Active Networks Conference and Exposition (DANCE'02), 11 pages, 2002	<input type="checkbox"/>	
	C3	"DNS - Contents," <a href="http://www2.rad.com/networks/1998/dns/main.htm">http://www2.rad.com/networks/1998/dns/main.htm</a> , 15 pages, December 7, 1999	<input type="checkbox"/>	
	C4	Easter, C., "Method To Report Access Control Of LAN Server Resources On A Per User Basis," IBM Technical Disclosure Bulletin, p. 172, April 1992	<input type="checkbox"/>	
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	C6	Hayes, Jeff, "Policy-Based Authentication And Authorization: Secure Access To The Network Infrastructure," IEEE, pp. 328-333, 2000	<input type="checkbox"/>	
	C7	Hewlett-Packard, "HP Introduces Next-Generation Web Authorization Products For E-Business," Press Release, 3 pages, January 18, 1999	<input type="checkbox"/>	
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BD	C12	Janis, "Reference Monitor-Creating Group Membership, IBM Technical Disclosure Bulletin, p. 431, March 1990	<input type="checkbox"/>	
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Sheet	7	of	11	Attorney Docket Number	021756-011700US

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Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
Bh	C13	Luciani, J. et al., "Server Cache Synchronization Protocol (SCSP)," RFC 2334, 39 pages, April 1998	<input type="checkbox"/>
	C14	Netscape Communications Corporation, "Introduction To SSL," <a href="http://developer.netscape.com/docs/manuals/security/sslin/contents.htm">http://developer.netscape.com/docs/manuals/security/sslin/contents.htm</a> , 12 pages, October 9, 1998	<input type="checkbox"/>
	C15	Oblix, Inc., "Oblix CSA Solution Administration Guide," Version 3.5, 328 pages, 1999	<input type="checkbox"/>
	C16	Pfitzmann, Birgit et al., "Analysis Of Liberty Single-Sign-On With Enabled Clients," IEEE Internet Computing, pp. 38-44, November/December 2003	<input type="checkbox"/>
	C17	Phipatanasuphorn, Veradej et al., "Vulnerability Of Sensor Networks To Unauthorized Traversal And Monitoring," IEEE Transactions on Computers, Vol. 53, No. 3, pp. 364-369, March 2004	<input type="checkbox"/>
	C18	Piscitello, David M. et al., "Project Guards Laptop And Desktop Data," InfoWorld, pp. 48 and 54, June 21, 1999	<input type="checkbox"/>
	C19	Skaggs, B. et al., "Network Vulnerability Analysis," IEEE, pp. III-493-III-495, 2002	<input type="checkbox"/>
	C20	Stokes, E. et al., "Access Control Requirements For LDAP," RFC 2820, 9 pages, May 2000	<input type="checkbox"/>
	C21	Sun Microsystems, Inc., "Appendix B - ACL File Syntax," iPlanet Web Server: FastTrack Edition Administrator's Guide, 7 pages, July 13, 2000	<input type="checkbox"/>
	C22	Sun Microsystems, Inc., "Chapter 2 - Syntax And Use Of obj.conf," iPlanet Web Server, FastTrack Edition NSAPI Programmer's Guide, 16 pages, July 20, 2000	<input type="checkbox"/>
	C23	Sun Microsystems, Inc., "Chapter 12 - Controlling Access To Your Server," iPlanet Web Server: FastTrack Edition Administrator's Guide, 24 pages, July 13, 2000	<input type="checkbox"/>
BD	C24	US Patent Application No. 09/792,911, Office Action dated September 9, 2004, 18 pages	<input type="checkbox"/>

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/814,091
				Filing Date	March 21, 2001
				First Named Inventor	Charles W. Knouse
				Art Unit	2135
				Examiner Name	Dada, Beemnet W.
Sheet	8	of	11	Attorney Docket Number	021756-011700US

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BP	C25	US Patent Application No. 09/792,911, Final Office Action dated May 9, 2005, 17 pages	<input type="checkbox"/>	
	C26	US Patent Application No. 09/792,911, Advisory Action dated August 9, 2005, 3 pages	<input type="checkbox"/>	
	C27	US Patent Application No. 09/792,911, Office Action dated December 2, 2005, 13 pages	<input type="checkbox"/>	
	C28	US Patent Application No. 09/792,915, Office Action dated July 23, 2004, 17 pages	<input type="checkbox"/>	
	C29	US Patent Application No. 09/792,915, Final Office Action dated March 8, 2005, 19 pages	<input type="checkbox"/>	
	C30	US Patent Application No. 09/792,915, Final Office Action dated June 30, 2005, 18 pages	<input type="checkbox"/>	
	C31	US Patent Application No. 09/792,915, Office Action dated October 4, 2005, 17 pages	<input type="checkbox"/>	
	C32	US Patent Application No. 09/792,918, Office Action dated September 8, 2004, 22 pages	<input type="checkbox"/>	
	C33	US Patent Application No. 09/792,918, Final Office Action dated June 21, 2005, 16 pages	<input type="checkbox"/>	
	C34	US Patent Application No. 09/792,918, Advisory Action dated September 20, 2005, 3 pages	<input type="checkbox"/>	
	C35	US Patent Application No. 09/792,934, Office Action dated September 21, 2004, 19 pages	<input type="checkbox"/>	
BP	C36	US Patent Application No. 09/792,934, Final Office Action dated June 2, 2005, 10 pages	<input type="checkbox"/>	
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BP	C37	US Patent Application No. 09/792,934, Office Action dated August 19, 2005, 5 pages	<input type="checkbox"/>
	C38	US Patent Application No. 09/793,196, Office Action dated July 14, 2004, 19 pages	
	C39	US Patent Application No. 09/793,196, Final Office Action dated March 8, 2005, 15 pages	
	C40	US Patent Application No. 09/793,196, Advisory Action dated July 21, 2005, 3 pages	
	C41	US Patent Application No. 09/793,196, Office Action dated December 13, 2005, 12 pages	
	C42	US Patent Application No. 09/793,320, Office Action dated August 4, 2004, 18 pages	<input type="checkbox"/>
	C43	US Patent Application No. 09/793,320, Final Office Action dated May 10, 2005, 19 pages	<input type="checkbox"/>
	C44	US Patent Application No. 09/793,320, Office Action dated September 20, 2005, 15 pages	<input type="checkbox"/>
	C45	US Patent Application No. 09/793,320, Final Office Action dated March 17, 2006, 18 pages	<input type="checkbox"/>
	C46	US Patent Application No. 09/793,354, Office Action dated October 1, 2003, 12 pages	<input type="checkbox"/>
	C47	US Patent Application No. 09/793,354, Final Office Action dated April 19, 2004, 15 pages	<input type="checkbox"/>
Bb	C48	US Patent Application No. 09/793,354, Office Action dated January 4, 2005, 11 pages	<input type="checkbox"/>

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BP	C49	US Patent Application No. 09/793,354, Final Office Action dated August 26, 2005, 9 pages	<input type="checkbox"/>
	C50	US Patent Application No. 09/793,354, Advisory Action dated December 15, 2005, 3 pages	<input type="checkbox"/>
	C51	US Patent Application No. 09/793,355, Office Action dated March 12, 2004, 13 pages	<input type="checkbox"/>
	C52	US Patent Application No. 09/793,355, Final Office Action dated April 6, 2005, 16 pages	<input type="checkbox"/>
	C53	US Patent Application No. 09/793,355, Advisory Action dated June 21, 2005, 7 pages	<input type="checkbox"/>
	C54	US Patent Application No. 09/793,355, Office Action dated September 7, 2005, 13 pages	<input type="checkbox"/>
	C55	US Patent Application No. 09/793,658, Office Action dated September 9, 2004, 14 pages	<input type="checkbox"/>
	C56	US Patent Application No. 09/793,658, Final Office Action dated November 2, 2005, 16 pages	<input type="checkbox"/>
	C57	US Patent Application No. 09/793,658, Advisory Action dated January 31, 2006, 3 pages	<input type="checkbox"/>
	C58	US Patent Application No. 09/886,515, Office Action dated December 28, 2004, 35 pages	<input type="checkbox"/>
	C59	US Patent Application No. 09/886,515, Office Action dated August 29, 2005, 35 pages	<input type="checkbox"/>
BP	C60	US Patent Application No. 09/886,515, Final Office Action dated February 14, 2006, 36 pages	<input type="checkbox"/>

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Sheet	11	of	11	Attorney Docket Number	021756-011700US

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BD	C61	Wahl, M. et al., "Authentication Methods For LDAP," RFC 2829, 16 pages, May 2000	<input type="checkbox"/>
BP	C62	Wahl, M. et al., "Lightweight Directory Access Protocol (v3)," RFC 2251, 48 pages, December 1997	<input type="checkbox"/>
BD	C63	Wu, Kun-Lung et al., "Personalization With Dynamic Profiler," IEEE, pp. 12-20, 2001	<input type="checkbox"/>
BD	C64	Yaacovi, Y. et al., "Lightweight Directory Access Protocol (v3): Extensions For Dynamic Directory Services," RFC 2589, 12 pages, May 1999	<input type="checkbox"/>

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